

PCT REQUEST

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0	For receiving Office use only	
0-1	International Application No.	
0-2	International Filing Date	
0-3	Name of receiving Office and "PCT International Application"	
0-4	Form - PCT/RO/101 PCT Request	
0-4-1	Prepared Using	PCT Online Filing Version 3.50 (Build 0001.162)
0-5	Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	European Patent Office (EPO) (RO/EP)
0-7	Applicant's or agent's file reference	1135WOORD01
I	Title of Invention	NOVEL 6-PHENYLPHENANTRIDINES
II	Applicant	
II-1	This person is:	applicant only
II-2	Applicant for	all designated States except US
II-4	Name:	ALTANA PHARMA AG
II-5	Address:	Byk-Gulden-Str. 2 78467 Konstanz Germany
II-6	State of nationality	DE
II-7	State of residence	DE
II-8	Telephone No.	+49-(0)7531-84-5226
II-9	Facsimile No.	+49-(0)7531-84-5321
II-10	e-mail	DEKON.IPPA-DE@altanapharma.com

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III-1	Applicant and/or inventor	
III-1-1	This person is:	applicant and inventor
III-1-2	Applicant for	US only
III-1-3	Inventor for	AP: (BW GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW); EA: (AM AZ BY KG KZ MD RU TJ TM); EP: (AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PL PT RO SE SI SK TR); OA: (BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG); AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH& LI CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW
III-1-4	Name (LAST, First)	SCHMIDT, Beate
III-1-5	Address:	Allensbacher Str. 5 78476 Allensbach Germany
III-1-6	State of nationality	DE
III-1-7	State of residence	DE
III-2	Applicant and/or inventor	
III-2-1	This person is:	inventor only
III-2-4	Name (LAST, First)	FLOCKERZI, Dieter
III-2-5	Address:	Ackerweg 26 78476 Allensbach Germany
III-3	Applicant and/or inventor	
III-3-1	This person is:	inventor only
III-3-4	Name (LAST, First)	GRUNDLER, Gerhard
III-3-5	Address:	Meersburger Str. 4 78464 Konstanz Germany
III-4	Applicant and/or inventor	
III-4-1	This person is:	inventor only
III-4-4	Name (LAST, First)	ROCKER, Dirk
III-4-5	Address:	Herzenstr. 5 78315 Radolfzell Germany

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III-5	Applicant and/or inventor	
III-5-1	This person is:	inventor only
III-5-4	Name (LAST, First)	HATZELMANN, Armin
III-5-5	Address:	Alter Wall 3 78467 Konstanz Germany
III-6	Applicant and/or inventor	
III-6-1	This person is:	inventor only
III-6-4	Name (LAST, First)	BARSIG, Johannes
III-6-5	Address:	Bleichenweg 11 78467 Konstanz Germany
III-7	Applicant and/or inventor	
III-7-1	This person is:	inventor only
III-7-4	Name (LAST, First)	MARX, Degenhard
III-7-5	Address:	Obere Reute 15 78345 Moos Germany
IV-1	Agent or common representative; or address for correspondence	
	The person identified below is hereby/ has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	agent
IV-1-1	Name (LAST, First)	WILD, Robert
IV-1-2	Address:	c/o ALTANA Pharma AG 78467 Konstanz Germany
IV-1-3	Telephone No.	+49(0)7531-84-5226
IV-1-4	Facsimile No.	+49(0)7531-84-5321
IV-1-5	e-mail	dekon.ippa-de@altanapharma.com
IV-1-6	Agent's registration No.	099982.1
V	DESIGNATIONS	
V-1	The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.	

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VI-1	Priority claim of earlier regional application		
VI-1-1	Filing date	31 July 2003 (31.07.2003)	
VI-1-2	Number	03017338.9	
VI-1-3	Regional Office	EP	
VII-1	International Searching Authority Chosen	European Patent Office (EPO) (ISA/EP)	
VII-2	Request to use results of earlier search; reference to that search		
VII-2-1	Date	17 November 2003 (17.11.2003)	
VII-2-2	Number	03017338.9	
VII-2-3	Country (or regional Office)	EP	
VIII	Declarations	Number of declarations	
VIII-1	Declaration as to the identity of the inventor	-	
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	1	
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	-	
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	1	
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-	

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VIII-2-1	Declaration: Entitlement to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate: Name (LAST, First)	in relation to this international application ALTANA PHARMA AG is entitled to apply for and be granted a patent by virtue of the following:
VIII-2-1(i)		ALTANA PHARMA AG is entitled as employer of the inventor, FLOCKERZI, Dieter
VIII-2-1(i)		ALTANA PHARMA AG is entitled as employer of the inventor, GRUNDLER, Gerhard
VIII-2-1(i)		ALTANA PHARMA AG is entitled as employer of the inventor, ROCKER, Dirk
VIII-2-1(i)		ALTANA PHARMA AG is entitled as employer of the inventor, HATZELMANN, Armin
VIII-2-1(i)		ALTANA PHARMA AG is entitled as employer of the inventor, BARSIG, Johannes
VIII-2-1(i)		ALTANA PHARMA AG is entitled as employer of the inventor, MARX, Degenhard
VIII-2-1(i)		ALTANA PHARMA AG is entitled as employer of the inventor, SCHMIDT, Beate
VIII-2-1(i)	This declaration is made for the purposes of:	all designations except the designation of the United States of America

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VIII-4-1	<p>Declaration: Inventorship (only for the purposes of the designation of the United States of America) Declaration of Inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:</p>	<p>I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.</p> <p>This declaration is directed to the international application of which it forms a part (if filing declaration with application).</p> <p>I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications", by application number, country or Member of the World Trade Organization, day, month, and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.</p>
VIII-4-1-1	Prior applications:	

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		<p>I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>
VIII-4-1-1-1	Name (LAST, First)	SCHMIDT, Beate
VIII-4-1-1-2	Residence: (city and either US State, if applicable, or country)	Allensbach, Germany
VIII-4-1-1-3	Mailing address:	Allensbacher Str. 5 D-78476 Allensbach Germany
VIII-4-1-1-4	Citizenship:	DE
VIII-4-1-1-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	/Beate SCHMIDT/
VIII-4-1-1-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	30 July 2004 (30.07.2004)

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IX	Check list	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	8	✓
IX-2	Description	28	✓
IX-3	Claims	4	✓
IX-4	Abstract	1	✓
IX-5	Drawings	0	✓
IX-7	TOTAL	41	
	Accompanying Items	paper document(s) attached	electronic file(s) attached
IX-8	Fee calculation sheet	-	✓
IX-11	Copy of general power of attorney	-	reference no. 00026160.2
IX-17	PCT-SAFE physical media	-	-
IX-19	Figure of the drawings which should accompany the abstract		
IX-20	Language of filing of the international application	English	
X-1	Signature of applicant, agent or common representative	(PKCS7 Digital Signature)	
X-1-1	Name (LAST, First)	WILD, Robert	
X-1-2	Name of signatory		
X-1-3	Capacity		

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10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/EP
10-6	Transmittal of search copy delayed until search fee is paid	

FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by the International Bureau	
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PCT (ANNEX - FEE CALCULATION SHEET)

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(This sheet is not part of and does not count as a sheet of the international application)

0	For receiving Office use only			
0-1	International Application No.			
0-2	Date stamp of the receiving Office			
0-4	Form PCT/RO/101 (Annex)			
0-4-1	PCT Fee Calculation Sheet			
	Prepared Using	PCT Online Filing Version 3.50 (Build 0001.162)		
0-9	Applicant's or agent's file reference	1135WOORD01		
2	Applicant	ALTANA PHARMA AG		
12	Calculation of prescribed fees	fee amount/multiplier	Total amounts (EUR)	
12-1	Transmittal fee T	⇨	100	
12-2-1	Search fee S	⇨	1550	
12-2-2	International search to be carried out by EP			
12-3	International filing fee (first 30 sheets) i1	902		
12-4	Remaining sheets	11		
12-5	Additional amount (X)	10		
12-6	Total additional amount i2	110		
12-7	i1 + i2 = i	1012		
12-12	Electronic Filing reduction (Full) R	-129		
12-13	Total International filing fee (i-R) I	⇨	883	
12-14	Fee for priority document			
	Number of priority documents requested	0		
12-15	Fee per document (X)	30		
12-16	Total priority document fee: P	⇨		
12-17	TOTAL FEES PAYABLE (T+S+I+P)	⇨	2533	
12-19	Mode of payment	authorization to charge deposit account		
12-20	Deposit account instructions			
	The receiving Office	European Patent Office (EPO) (RO/EP)		
12-20-1	Authorization to charge the total fees indicated above.	✓		
12-20-2	Authorization to charge any deficiency or credit any overpayment in the total fees indicated above.	✓		
12-20-3	Authorization to charge the fee for priority document.	✓		
12-21	Deposit account No.	28000022		
12-22	Date	30 July 2004 (30.07.2004)		
12-23	Name and signature	ALTANA PHARMA AG,		
		/Robert WILD/		

PCT (ANNEX - FEE CALCULATION SHEET)

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REMARKS

13-1-1	Applicant Remarks	It seems to me that the Print out (Original in electronic Form) does not reflect the data that have been entered into PCT online Filing Software Version 3.50 (Build 0001.162). The following was intended: SCHMIDT, Beate is applicant and inventor. She is applicant for US only and inventor for all designated states. FLOCKERZI, Dieter; GRUNDLER, Gerhard; ROCKER, Dirk; HATZELMANN, Armin; BARSIG, Johannes; MARX, Degenhard are inventors only. They are inventors for all designated states with the exception of US, CA and PH.
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